



H-A

Attorney Docket No.: A2A-101

~~SECTOR~~  
101 #13

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Attention: Initial Patent Examination Division

Applicant: **Bernard G. FREELAND**

- ) I hereby certify that this correspondence
- ) has been sent by FIRST CLASS MAIL
- ) and has been addressed to the
- ) Assistant Commissioner for Patents
- ) Washington, DC, 20231.

**Title:** System and Method for Facilitating Asset-Based Financing in a Private Sale

Intl Appl No: 09/800,621

) Susan Vanderwalker 22 May 2001  
) Susan Vanderwalker date

Intl Filing Date: 07 MAR 2001

**COMMUNICATION REGARDING  
LATE SUBMISSION OF DECLARATION AND  
POWER OF ATTORNEY DOCUMENT**

The Honorable Commissioner  
of Patents and Trademarks  
Washington, DC 20231

Dear Sir:

On March 7, 2001, the above-referenced Application was submitted without an Oath or Declaration pursuant to Rule 1.53. A *Notice to File Missing Parts of Application, Filing Date Granted*, dated April 19, 2001, has been received by Applicant. A copy is enclosed along with the required *Declaration and Power of Attorney* document.

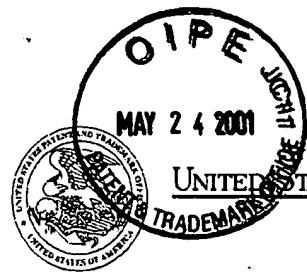
The Applicant is a Small Entity. You are hereby authorized to deduct the amount of \$65.00 from our Deposit Account, No. 02-0400 to cover the surcharge in accordance with Rule 1.16(e). If additional fees are required at this time, **When identifying such a withdrawal, please use our Attorney Docket No. A2A-101.**

Respectfully,

Douglas Rock

David I. Roche  
Reg. No. 30,797

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/800,621	03/07/2001	Bernard G. Freeland	A2A-101

CONFIRMATION NO. 7479

## FORMALITIES LETTER



\*OC00000005984511\*

David I. ROCHE  
 Baker & McKenzie  
 130 E. Randolph Drive  
 Chicago, IL 60601

Date Mailed: 04/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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